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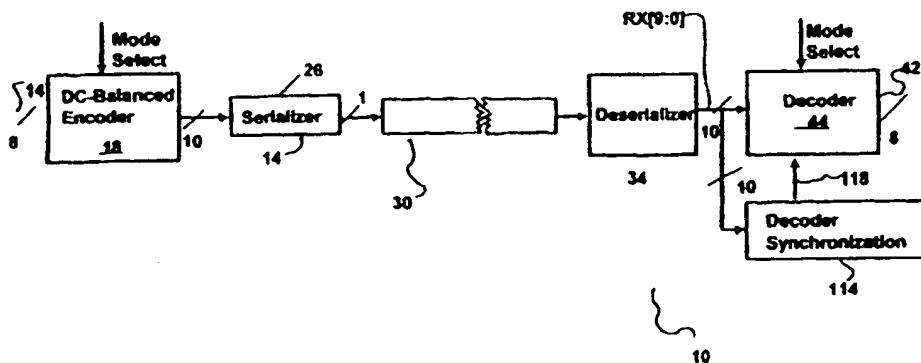
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(54) Title: TRANSITION-CONTROLLED DIGITAL ENCODING AND SIGNAL TRANSMISSION SYSTEM



(57) Abstract

A method and apparatus for producing a transition-controlled, DC-balanced sequence of characters from an input sequence of data bytes is disclosed herein. The bits in each of the data bytes are selectively complemented in accordance with the number of logical transitions in each data byte in order to produce selectively complemented data blocks. A cumulative disparity is then determined between the logical values of different type included within ones of the selectively complemented data blocks previously encoded into characters. In addition, a current disparity in a candidate character associated with a current one of the selectively complemented data blocks being encoded is also determined. The candidate character is assigned to the current one of the selectively complemented data blocks if the current disparity is of a polarity opposite to a first polarity of the cumulative disparity. Alternately, the complement of the candidate character is assigned to the current one of the selectively complemented data blocks if the current disparity is of the first polarity. In a high-transition mode of operation, the bits within data blocks including less than a minimum number of logical transitions are selectively complemented so that each such selectively complemented data block includes in excess of the minimum number of logical transitions. In a low-transition mode of operation, the bits within data blocks having more than a predefined number of logical transitions are selectively complemented so that each such selectively complemented data block includes less than the maximum number of logical transitions.

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## TRANSITION-CONTROLLED DIGITAL ENCODING AND SIGNAL TRANSMISSION SYSTEM

### BACKGROUND OF THE INVENTION

#### I. Field of the Invention

This invention relates to coding schemes for digital transmission systems. More particularly, the present invention relates to a DC-balanced, transition-controlled coding system in which rapid byte synchronization allows for 5 prompt initiation of decoding.

#### II. Description of the Related Art

As electronic and computer technology continues to evolve, communication of information among different devices, either situated near by or at a distance becomes increasingly important. For example, it is now more desirable than ever to 10 provide for high speed communications among different chips on a circuit board, different circuit boards in a system, and different systems with each other. It is also increasingly desirable to provide such communications at very high speeds, especially in view of the large amount of data required for data communications in intensive data consuming systems using graphical or video information, multiple 15 input-output channels, local area networks, and the like.

It is particularly desirable to enable individual personal computers, workstations, or other computing devices, within which data is normally internally transferred using parallel data buses, to communicate with each other over relatively simple transmission lines. Such transmission lines typically include only one or two 20 conductors, in contrast with the 64-bit and wider data paths within computing

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systems now commonly available. In the case of video data transmission to computer displays, as well as in the case of high-speed video input from digital cameras to computer systems, existing interconnection interfaces typically employ such parallel data paths. Recently, the requisite bandwidth of such interconnection systems has increased as a consequence of increased display resolution. This has increased electromagnetic interference (EMI) as well as transmission line noise, thereby raising concerns as to safety and reliability. In addition, the large number of signal and data lines required by advanced liquid crystal display panels has increased the potential for mutual interference.

10 There have been a number of commercially available products which attempt to provide high speed conversion of parallel data to serial form and transmission over a serial link. The Hewlett-Packard G-link chip set is one such product. That chip set includes a transmitter set and is capable of handling 21-bit wide parallel data. To obtain the necessary speed, however, the chip set is fabricated using a  
15 bipolar process, and the receiver and transmitter require separate chips. Such a solution is highly power consumptive and expensive.

Another commercial solution has been provided by Bull of France. The Bull technology employs a frequency multiplier for parallel to serial data conversion. Such devices typically introduce noise into the silicon substrate and interfere with  
20 other multipliers on the chip. In addition, the Bull technology uses an exclusive OR tree for parallel to serial conversion. The use of exclusive OR trees is well known, together with the difficulty of equalizing the delay through all paths of such devices. Additionally, the Bull technology uses output signals having full logic swings. This results in slower performance.

25 Various techniques exist for improving the characteristics of transmission over serial links. For example, transmission codes may be employed to alter the frequency spectrum of the transmitted serial data so as to facilitate clock recovery and enable AC coupling. Each transmission code will also typically provide special characters, not included within the data alphabet, to be used in character

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synchronization, frame delimiting, as well as perhaps for diagnostic purposes. Coding may also be employed to reduce transmission bandwidth as a means of limiting the signal distortion occurring during propagation through the transmission medium. In the case of wire links, it is desirable to utilize codes with no DC and little low frequency content in order to allow for DC isolation of the driver and receiver circuitry from the transmission line, as well as to reduce signal distortion on the line. An efficient coding system should also be disposed to encode clock information with the encoded data in a manner allowing for extraction of the clock information during decoding. This obviates the need for provision of a separate clock signal over a dedicated clock line, since the clock information recovered during decoding may be instead used by the receiver circuitry.

Within local area networks (LANs), transmission coding schemes exist for converting words of various length to characters of greater length. For example, three-bit words may be converted to four-bit characters (3B/4B), four-bit words may be converted to five-bit characters (4B/5B), and so on. Typically, coding and decoding is achieved using a "key" in which each word is mapped to a corresponding character. Unfortunately, the complexity of this type of mapping scheme generally precludes utilization of random logic, and often requires implementations involving look-up tables or the like. This is disadvantageous given that look-up tables realized using ROM consume significant chip area and tend to slow circuit operation.

A particular 8B/10B coding scheme is described in U.S. Patent No. 4,486,739. In particular, a binary DC balanced code and associated encoder circuit are described as being operative to translate an 8 bit byte of information into 10 binary digits for transmission. The 8B/10B coder is partitioned into a 5B/6B plus a 3B/4B coder. Despite ostensibly facilitating DC-balanced encoding, this system tends to require relatively lengthy encoding and decoding intervals.

Although progress has been made in the development of coding techniques disposed to facilitate serial data transmission, there remains a need for a coding

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scheme capable of efficiently supporting very high speed serial data transmission. Such a coding scheme should also be DC-balanced in order to facilitate AC coupling and clock recovery. In addition, it would be desirable to provide a coding scheme capable of facilitating real-time data transfer by allowing for rapid synchronization  
5 during decoding.

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SUMMARY OF THE INVENTION

In one aspect, the present invention is directed to a method and apparatus for producing a transition-controlled, DC-balanced sequence of characters from an input sequence of data bytes. The bits in each of the data bytes are selectively complemented in accordance with the number of logical transitions in each data byte in order to produce selectively complemented data blocks. A cumulative disparity is then determined between the logical values of different type included within ones of the selectively complemented data blocks previously encoded into characters. In addition, a current disparity in a candidate character associated with a current one of the selectively complemented data blocks being encoded is also determined. The candidate character is assigned to the current one of the selectively complemented data blocks if the current disparity is of a polarity opposite to a first polarity of the cumulative disparity. Alternately, the complement of the candidate character is assigned to the current one of the selectively complemented data blocks if the current disparity is of the first polarity.

In a high-transition mode of operation, the bits within those of the data blocks including less than a minimum number of logical transitions are selectively complemented in order that each such selectively complemented data block include in excess of the minimum number of logical transitions. In a low-transition mode of operation, the bits within those of the data blocks having more than a predefined number of logical transitions are selectively complemented in order that each such selectively complemented data block includes less than the maximum number of logical transitions. During both high-transition mode and low-transition mode encoding of each such selectively complemented data block into an encoded character, a predefined bit is set indicating that selective complementing has been effected.

In another aspect, the present invention comprises a high speed digital signal transmission system. The system includes an encoder for encoding digital input data

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into data characters and for encoding control data into control characters. Each of the data characters have a first plurality of logical transitions within a first range, and each of the control characters have a second plurality of logical transitions in a second range different from the first range. The data and control characters are  
5 converted into a serial data stream and transmitted over a communication link.

The high speed digital signal transmission system further includes a deserializer for receiving the serial data stream from the communication link and for recovering received data and control characters therefrom. The received control characters are distinguished from the received data characters on the basis of numbers of logical  
10 transitions detected therein. A decoder, coupled to the deserializer, is operative to decode the received data and received control characters.  
15

BRIEF DESCRIPTION OF THE DRAWINGS

Additional objects and features of the invention will be more readily apparent from the following detailed description and appended claims when taken in  
15 conjunction with the drawings, in which:

FIG. 1 is a block diagram depicting a DC-balanced encoding system of the present invention implemented within a high-speed digital transmission system.

FIG. 2 shows the overall functional organization of a DC-balanced encoder of the present invention in the form of a data flow chart.

20 FIG. 3 provides a block diagrammatic representation of a decoder included within the transmission system of FIG. 1.

FIG. 4 shows a random logic implementation of an alternate byte inversion decoder module of the decoder of FIG. 3.

FIG. 5 is a flow chart depicting the synchronization process effected by a  
25 decoder synchronization module operative in conjunction with the decoder of FIG. 3.

FIG. 6 is a flow chart depicting the synchronization process effected by the decoder synchronization module during high-transition mode operation.

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FIGS. 7A and 7B provide a schematic representation of an exemplary implementation of the encoder.

FIGS. 8A and 8B provide a schematic representation of a preferred implementation of the decoder synchronization module.

5

## DESCRIPTION OF THE PREFERRED EMBODIMENT

### I. System Overview

FIG. 1 is a block diagram depicting a transition-controlled, DC-balanced encoding system of the present invention implemented within a high-speed digital transmission system 10. In the system 10 of FIG. 1, 8-bit bytes of parallel data 14 are provided to a DC-balanced encoder 18 operative to effect transition-controlled, DC-balanced 8B/10B coding in accordance with the present invention. The resultant 10B encoded characters 22 are provided to a serializer 26 disposed to convert the 10-bit characters into a serial data stream for transmission over a serial data link 30 (e.g., an optical fiber cable, or twisted-pair copper wire). As is described herein, the relatively straightforward mathematical characteristics of the encoding algorithm performed by the encoder 18 allow for economical, high-speed implementations in random logic.

The serial data stream is received from the serial data link 30 by a deserializer 34 and converted into 10-bit character data 38. The 10-bit character data 38 is then decoded into 8-bit data bytes 42 by a decoder 44. As is described hereinafter, both the encoder 18 and decoder 44 may be economically realized using simple digital logic circuits capable of real-time data processing.

### II. DC-Balanced Transition-Controlled Encoding and Decoding System

The following provides a detailed description of the 8B/10B transition-controlled coding scheme carried out within the encoder 18. The transition-controlled code disclosed herein contemplates the use of either a high-transition or low-transition set of "in-band" code characters. Each high-transition in-band code character is derived from an input data byte in which four or more logical transitions

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exist between the eight bits thereof. Similarly, each low-transition in-band code character is derived from an input data byte in which fewer than four logical transitions exist between its eight bits. This scheme takes advantage of the fact that 128 of the 258 eight-bit ASCII codes include four or more logical transitions, and

5 the remaining 128 ASCII codes include fewer than four logical transitions.

It has been found that each of the 128 eight-bit codes including fewer than four logical transitions may be mapped to a corresponding eight-bit code having four or more logical transitions, and vice-versa. As is described herein, this mapping may be achieved by complementing predefined bits in each eight-bit code to be  
10 mapped. During high-transition mode encoding, the bits within those input bytes having fewer than four logical transitions are selectively complemented and thereby mapped to bytes having four or more logical transitions. Alternately, during low-transition mode encoding the bits within those input bytes having four or more logical transitions are also selectively complemented and thereby mapped to bytes  
15 having fewer than four logical transitions. During both encoding modes, a bit of predefined value is appended to the selectively complemented byte in order to produce an intermediate 9-bit encoded symbol prior to creation of a corresponding 10-bit encoded character. When the input byte includes the number of logical transitions mandated by the current encoding mode (i.e., high-transition mode or low-  
20 transition mode), the appended bit is set to the complement of the predefined value in order to identify which of the 9-bit intermediate symbols include selectively complemented bytes. This results in the entire set of 256 eight-bit codes being made available for encoding into 10-bit characters during both low-transition mode and high-transition mode operation.

25 It may thus be appreciated that each eight-bit code converted into a 10-bit encoded character during high-transition mode operation includes four or more logical transitions. Similarly, each eight-bit code converted into a 10-bit encoded character during low-transition mode operation includes less than four logical transitions. These sets of 10-bit encoded characters capable of being produced  
30 during high-transition and low-transition modes of operation may be characterized as

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a high-transition set of "in-band" encoded characters, and a low-transition set of in-band encoded characters, respectively. Beyond the 256 in-band characters within the high-transition set and the 256 in-band characters of the low-transition set, there exists a high-transition set of 256 out-of-band 10-bit characters and a low-transition 5 set of 10-bit characters. In accordance with another aspect of the invention, various synchronization and other special characters are defined using the high-transition and low-transition sets of out-of-band characters. Each character corresponding to one of these "out-of-band" characters associated with the high-transition set includes less than four logical transitions, and each of the out-of-band characters associated with 10 the low-transition set of code characters includes more than four logical transitions. The difference in the number of transitions between in-band and out-of-band characters allows selected out-of-band characters to serve as control characters, and to be readily distinguished from in-band characters within the transmitted data stream.

15 Given the relatively high number of transitions within each of the in-band characters of the high-transition set, the high-transition set of characters may advantageously be employed to facilitate timing recovery. On the other hand, the low number of transitions within the code characters of the low-transition set makes this set of characters ideal for use in applications in which it is desired to minimize 20 power consumption and/or electromagnetic interference (EMI).

In accordance with one aspect of the invention, the synchronization characters associated with both the low-transition and high-transition sets of code characters are selected so as to facilitate rapid synchronization during data recovery. When the low-transition set of code characters is being employed, a special group of out-of- 25 band characters is used during synchronization. Each special synchronization character includes a predefined number larger than four (e.g., 7) of logical transitions between binary character values, and a predefined number (e.g., two) of "non-transitions" between character values. As will be discussed below, the special synchronization characters are selected such that random logic may be used to 30 distinguish each special synchronization character from the in-band characters of the

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low-transition set. The following constitutes an exemplary set of out-of-band synchronization characters for use with the low-transition set of code characters:

5           1100101010  
              1101001010  
              1101010010  
              1101010100

It is a feature of the invention that if one of the above out-of-band synchronization characters is transmitted three or more consecutive times within any preamble period, the synchronization character is ensured of being detected during 10 the associated data recovery process. In this regard a "preamble" sequence is sent during a preamble period preceding each transmission of encoded characters. The transmission of preamble sequences may occur not only as part of system initialization, but also at various other times to ensure that synchronization is maintained between encoding and decoding processes.

15           The coding scheme of the present invention is predicated on particular characteristics of the 256 different 8-bit binary code values. Referring to TABLE I, the 256 different 8-bit binary codes may be divided into eight groups G0-G7, where the binary codes within each group G0-G7 include the same number of transitions. It is observed that each binary code within group G0 can be converted to a 20 corresponding binary code within group G7 by inverting alternate bits within the group G0 code. In the same way, each of the binary codes within groups G1, G2 and G3 may be converted to one of the binary codes within groups G6, G5 and G4, respectively, through inversion of alternate bits. As is described herein, the high-transition set of 10-bit characters is obtained by encoding of the 8-bit binary codes in 25 groups G0-G3, and the low-transition set obtained by encoding groups G4-G7.

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TABLE I

| GROUP | NUMBER OF TRANSITIONS | NUMBER OF BYTES IN GROUP | EXAMPLE BYTES IN GROUP   |
|-------|-----------------------|--------------------------|--------------------------|
| 5     | G0                    | 0                        | 2<br>00000000, 11111111  |
|       | G1                    | 1                        | 14<br>00000001, 11111110 |
|       | G2                    | 2                        | 42<br>00000010, 11111101 |
|       | G3                    | 3                        | 70<br>00000101, 11111010 |
|       | G4                    | 4                        | 70<br>00001010, 11110101 |
|       | G5                    | 5                        | 42<br>00010101, 11101010 |
|       | G6                    | 6                        | 14<br>00101010, 11010101 |
| 10    | G7                    | 7                        | 2<br>01010101, 10101010  |

During operation of the encoder 18 in a high-transition encoding mode, each 8-bit binary code within byte groups G0-G3 provided thereto is converted to a corresponding binary code within byte groups G4-G7 through inversion of alternate bits. Conversely, during operation in the low-transition encoding mode, each 8-bit binary code within groups G4-G7 provided to encoder 18 is mapped to a corresponding binary code within groups G0-G3. In the exemplary embodiment, the inversion of alternate bits is effected through the inversion of the even bits of the 8-bit binary codes. When the alternate bits of a given 8-bit code have been so inverted, a predefined bit within the resulting 10-bit encoded character derived from the given eight-bit code is set so as to indicate that mapping has occurred between byte groups.

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Referring now to FIG. 2, the overall functional organization of the transition-controlled DC-balanced encoder 18 of the present invention is shown in the form of a data flow chart. In FIG. 2, the 8-bit parallel data 14 to be encoded is latched within an input latch 70 comprised of, for example, eight D-type flip-flops. A 5 transition counter 74 is operative to count the number of transitions (T) in logical value between adjacent bits of each byte of parallel data 14 within latch 70. If  $D_7, D_6, \dots, D_0$ , (i.e.,  $D[7:0]$ ) comprise the eight bits of data latched within the input latch 70, then the transition counter 74 may determine T as follows:

$$T := (D_7 \text{ xor } D_6) + (D_6 \text{ xor } D_5) + (D_5 \text{ xor } D_4) + (D_4 \text{ xor } D_3) \\ 10 \quad + (D_3 \text{ xor } D_2) + (D_2 \text{ xor } D_1) + (D_1 \text{ xor } D_0)$$

A COUNT line 78 is set to a predefined logical value by counter 74 if more than four or more logical transitions are counted between bits of the latched byte ( $T > 3$ ), and is set to the complement of the predefined logical value otherwise ( $T \leq 3$ ). In what follows it will be assumed that COUNT = 0 if four or more logical 15 transitions are counted by counter 78 ( $T > 3$ ), and that COUNT = 1 otherwise ( $T \leq 3$ ).

As is indicated by FIG. 2, a transition controller 82 is responsive to COUNT line 78 and to a mode select line 86. Mode select line 86 determines whether encoding is to be performed using the high-transition set or the low-transition set of 20 code characters. When mode select line 86 indicates high-transition encoding is in effect, and COUNT line 78 registers that less than four logical transitions exist within the byte stored within latch 70, the transition controller 82 instructs conditional alternate bit inversion (CABI) logic 90 to invert the even bits the byte stored within latch 70. The resultant conditionally inverted byte, which will have 25 four or more logical transitions, is stored within intermediate latch 94. Conversely, if high-transition encoding is in effect and four or more logical transitions are counted within the byte stored in the input latch 70, the transition controller 82 causes CABI logic 90 to simply transfer the byte from latch 70 (without bit

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inversion) to the intermediate latch 94. Accordingly, during high-transition encoding mode,

if  $T < 4$ ,

then  $E[8:0] = '1' D_7 \bar{D}_6 D_5 \bar{D}_4 D_3 \bar{D}_2 D_1 \bar{D}_0$ .

5 else if  $T \geq 4$ ,

then  $E[8:0] = '0' D_7 D_6 D_5 D_4 D_3 D_2 D_1 D_0$

where  $E[7:0]$  comprise the eight bits stored within the intermediate latch 94, and  $E[8]$  comprises the value of COUNT stored within COUNT latch 95.

When mode select line 86 indicates that low-transition encoding has been  
 10 selected, and COUNT line 78 registers that four or more logical transitions are present within the byte stored within latch 70, the transition controller 82 instructs conditional alternate bit inversion (CABI) logic 90 to invert the even bits the byte stored within latch 70. Otherwise, if low-transition encoding being performed and four or more logical transitions are counted within the byte stored in the input latch  
 15 70, the stored byte is simply transferred without bit inversion to the intermediate latch 94. Accordingly, during low-transition encoding mode,

if  $T > 3$ , then  $E[8:0] = '1' D_7 \bar{D}_6 D_5 \bar{D}_4 D_3 \bar{D}_2 D_1 \bar{D}_0$ .

else  $E[8:0] = '0' D_7 D_6 D_5 D_4 D_3 D_2 D_1 D_0$

After CABI logic 90 has provided a byte having a number of logical  
 20 transitions within the appropriate range to latch 94, a DC-balancing process is performed in which the cumulative disparity between complementary logical values within the stream of 10-bit encoded characters produced by the encoder 18. As used herein, the term "cumulative disparity" ( $D_{cum}$ ) denotes the excess of one bits relative to zero bits produced by the encoder 18 subsequent to synchronization being  
 25 achieved with the decoder 44 in the manner described below. The term "current disparity" ( $D_{cur}$ ) refers to the excess of one bits to zero bits within the byte currently

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stored within the latch 94, and is determined by disparity checker 96. A DC-balancing module 98 serves to compare the current disparity to the cumulative disparity stored within latch 99. The result of the comparison is then used to determine whether the byte stored within latch 94 is inverted by conditional byte inversion (CTBI) logic 100 during the course of transfer thereof to output register 104. In this way CTBI logic 100 serves to minimize the cumulative disparity associated with the serial stream produced by the encoder 18. The following provides a logical description of the manner in which each of the 10-bit characters T[9:0] in the DC-balanced character stream produced by the encoder are derived 5 from the byte E[7:0] stored within the intermediate latch 94 and the bit E[8] within COUNT latch 95.

10

The current disparity ( $D_{cur}$ ) is computed by the disparity checker 96 as follows:

$$15 \quad D_{cur} := \{(E_7 \text{ and } E_6) + (E_5 \text{ and } E_4) + (E_3 \text{ and } E_2) + (E_1 \text{ and } E_0)\} \\ - \{(E_7 \text{ nor } E_6) + (E_5 \text{ nor } E_4) + (E_3 \text{ nor } E_2) + (E_1 \text{ nor } E_0)\}$$

It is noted that during operation in the high-transition mode,  $-2 \leq D_{cum} \leq 2$ , while during operation in the low-transition mode  $-4 \leq DP \leq 4$ . Within the DC-balancing module 98, if it is determined that  $D_{cur} = 0$  or  $D_{cum} = 0$ , then

20      if  $E_8 = '0'$   
       then  $T[9:0] = '1'E_8\bar{E}_7\bar{E}_6\bar{E}_5\bar{E}_4\bar{E}_3\bar{E}_2\bar{E}_1\bar{E}_0$ , and

$$D'_{cum} = D_{cum} + D_{cur}$$

25      else if  $E_8$  is not equal to zero,  
       then  $T[9:0] = '0'E_8E_7E_6E_5E_4E_3E_2E_1E_0$ , and  
 $D'_{cum} = D_{cum} + D_{cur}$

where  $D'_{cum}$  is the updated cumulative disparity computed by disparity updater 108 and stored thereby within latch 99.

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Alternately, if the DC-balancing module determines that the most significant bit (MSB) of  $D_{cur}$  and the MSB of  $D_{cum}$  are not equivalent, then

$$T[9:0] = '0'E_8E_7E_6E_5E_4E_3E_2E_1E_0, \text{ and}$$

$$D'_{cum} = D_{cum} + D_{cur} - E_8$$

5 Finally, in all other cases if the MSB of  $D_{cur}$  and the MSB of  $D_{cum}$  are equivalent, then, then

$$T[9:0] = '1'E_8\bar{E}_7\bar{E}_6\bar{E}_5\bar{E}_4\bar{E}_3\bar{E}_2\bar{E}_1\bar{E}_0, \text{ and}$$

$$D'_{cum} = D_{cum} - D_{cur} + E_8$$

In this way the cumulative disparity is reduced, and DC balance achieved,  
 10 through selective inversion of the byte  $E[7:0]$  by CBI logic 100 during the course of filling the output latch 104 as  $T[7:0]$ . It is observed that the logical value of  $T[8]$  is indicative of whether the even bits of byte  $D[7:0]$  received at input latch 70 were complemented during generation of the byte  $E[0:8]$ . Similarly, the logical value of  $T[9]$  indicates whether byte  $E[7:0]$  was inverted during transfer to latch 104.

15 III. Decoding

Referring to FIG. 1, the deserializer 34 receives the sequence of 10-bit characters  $T[9:0]$  produced by the encoder and generates 10-bit parallel received data upon bit lines  $RX_9, RX_8, \dots, RX_0$  (i.e.,  $RX[9:0]$ ). This 10-bit parallel received data is provided over bit lines  $RX[9:0]$  to the decoder 44, as well as to a decoder  
 20 synchronization module 114. As is described below in section IV, the synchronization module 114 is operative to ascertain boundaries within the 10-bit parallel received data corresponding to the frame boundaries of the transmitted data (i.e., to  $T[9:0]$ ). Specifically, synchronization module 114 determines upon which of the bit lines  $RX[9:0]$  the deserializer 34 is providing the received bits  
 25 corresponding to the first bit  $T[0]$  of each transmitted byte  $T[9:0]$ . Upon making this determination, the synchronization module 114 provides a frame boundary pointer 118 to decoder 44 identifying the one of the bit lines  $RX[9:0]$  corresponding

## 16.

to the first bit T[0] of each transmitted 10-bit character T[9:0]. Upon receiving this synchronization information, the decoder 44 is disposed to decode the received data RX[9:0] in the following manner.

FIG. 3 provides a block diagrammatic representation of the decoder 44. The

5 10-bit parallel data produced by the deserializer is seen to be received over bit lines RX[9:0] by a decoder switch 150. The decoder switch 150 serves to switch the 10-bit data received over bit lines RX[9:0] to switched bit lines S[9:0] in accordance with frame boundary pointer value 118 provided by the synchronization module 114. Specifically, the one of the received bits RX[9:0] corresponding to the first

10 transmitted bit T[0] is switched to bit line S[0], the one of the received bits RX[9:0] corresponding to the second transmitted bit T[1] is switch to bit line S[1], and so on. The switched data impressed upon bit lines S[7:0], which corresponds to the transmitted data byte T[7:0], is stored within 8-bit latch 154. Similarly, the switched data bit S[8], which corresponds to the transmitted bit T[8], is provided to

15 1-bit latch 158. Since the logical value of bit line S[8] tracks the logical value of T[8], the bit line S[8] informs the Alternate Bit Inversion (ABI) decoder 160 as to whether the even bits of the input data D[7:0] were complemented by CABT logic 90 (FIG. 2) during the encoding process. Likewise, the bit line S[9] (which tracks the logical value of T[9]) informs the ABI decoder 160 as to whether CTBI logic 100

20 complemented the byte stored within latch 104 during the DC-balancing portion of the encoding process. In this way the decoder 160 is informed of the logical operations performed upon the byte S[7:0] stored within 8-bit latch 154 during the encoding process, thereby facilitating straightforward decoding using random logic.

Turning now to FIG. 4, there is shown a random logic implementation of the

25 ABI decoder 160. The ABI decoder includes a set of nine exclusive-or (XOR) gates N1-N9 for decoding the 10-bit frame-aligned data S[9:0] in order to produce the 8-bit decoded byte DE[7:0]. In the implementation of FIG. 4, the XOR gates N1-N9 produce the decoded byte DE[7:0] as follows:

$$DE[7] := S[7] \text{ xor } S[9]$$

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DE[6] := S[6] xor  $\beta$   
DE[5] := S[5] xor S[9]  
DE[4] := S[4] xor  $\beta$   
DE[3] := S[3] xor S[9]  
5 DE[2] := S[2] xor  $\beta$   
DE[1] := S[1] xor S[9]  
DE[0] := S[0] xor  $\beta$   
where  $\beta := S[9] \text{ xor } S[8]$ .

#### IV. Synchronization

10 As mentioned above, the decoder synchronization module 114 provides an indication to the decoder 44 of the frame boundary of each transmitted 10-bit character T[9:0]. The decoder module 114, together with the decoder switch 150 (FIG. 3), effectively function as a barrel-shifter to shuffle the parallel data RX[9:0] from the deserializer into the frame-aligned data S[9:0]. In accordance with the  
15 invention, a preamble sequence is produced by the encoder 18 at various times (e.g., at system power-up) in order to facilitate frame boundary detection by the synchronization module 114. In the exemplary embodiment this preamble sequence includes several repetitions of selected out-of-band characters easily distinguishable from in-band characters. Again, during high-transition mode operation each out-of-  
20 band character will include less than four logical transitions, and during low-transition mode operation each out-of-band character will include four or more logical transitions. As is discussed below, during operation in each mode several repetitions of specially selected out-of-band characters are produced by the encoder 18 during the preambling period as a means of ensuring rapid frame boundary  
25 identification within the decoder synchronization module 114. At the conclusion of the preambling period, the module 114 will "know" which of the bit lines RX[9:0] corresponds to the first bit T[0] of the 10-bit transmitted character, and will inform decoder via frame boundary pointer 118.

## 18.

By selecting an appropriate subset of out-of-band characters for transmission during the preambbling period, the worst-case time required for synchronization to be achieved may be reduced relative to that required by conventional synchronization schemes. In particular, during low-transition mode operation the following out-of-  
5 band characters are used as "synchronization characters".

1100101010  
1101001010  
1101010010  
1101010100

10 During high-transition mode operation, the following out-of-band characters  
are used as synchronization characters:

1000001111  
1000011111  
1000111111  
15 1001111111  
1011111111

During each preambbling period, three repetitions of the same synchronization character are produced by the encoder 18. As is described herein, by processing the 21 bits most recently produced by the encoder 14, synchronization module 114 is  
20 able to detect at least one of the three repetitions of the synchronization character transmitted during a given preambling period. This advantageously allows synchronization to be achieved within a relatively short preambling period.

Turning now to FIG. 5, a flow chart is provided of the synchronization process effected by the decoder synchronization module 114 during low-transition  
25 mode operation. During each clock cycle of the module 114, a block of ten bits is loaded from the deserializer 34 into a first 10-bit latch 150. Also during each clock cycle, a 10-bit block is transferred from the first 10-bit latch 150 to a second 10-bit

19.

latch 154. Similarly, the 10-bit block currently stored within the second 10-bit latch 154 is transferred during each clock cycle to a third 10-bit latch 158.

As is indicated by FIG. 5, an exclusive-NOR (XNOR) operation (step 162) is performed between adjacent bits included within a 21-bit "window" of data held by 5 the latches 150,154,158. Specifically, this 21-bit window includes a 10-bit block L3[9:0] from the third latch 158, a 10-bit block L2[9:0] from the second latch 154, and the bit L1[9] from the first latch 150. In this regard the bit L1[9] is that bit which becomes bit L2[9] upon being transferred to the second latch 154. As an example of operation during the low-transition mode, consider a 21-bit window (i.e., 10 L3[9:0], L2[9:0], L1[9]) comprised of the following parallel bit sequence:

101101001010110100101

If an XNOR operation is performed between each pair of adjacent bits, the following result is obtained:

00100010000010001000

15 As is indicated by FIG. 5, this 20-bit result of the XNOR operation (step 160) is divided into four 5-bit groups (i.e., Group A, Group B, Group C and Group D). In the current example, these four 5-bit groups are defined as follows:

|    |                      |                            |
|----|----------------------|----------------------------|
|    | 00100010000010001000 | {Result of XNOR operation} |
|    | 00100                | {Group A}                  |
| 20 | 01000                | {Group B}                  |
|    | 00100                | {Group C}                  |
|    | 01000                | {Group D}                  |

The synchronization characters for both the high-transition mode and the low-transition modes enumerated above have been selected such that particular 25 relationships arise between Groups A, B, C and D during the preambling period. That is, when three consecutive occurrences of the same synchronization character - produced by the encoder 18 during preambling - are received by the deserializer 34 and provide as 10-bit parallel data to the synchronization module 114.

20.

In an exemplary implementation, the following two relationships (Condition I and Condition II) arise between Groups A, B, C and D during the preambling period:

5      Condition I. The number of logical "1's" collectively present in Groups A, B, C, and D is exactly four, and corresponds to one of the following three cases:

|    |             | <u>Number of Logical 1's</u> |                |                |                |
|----|-------------|------------------------------|----------------|----------------|----------------|
|    | <u>Case</u> | <u>Group A</u>               | <u>Group B</u> | <u>Group C</u> | <u>Group D</u> |
| 10 | #1          | 1                            | 1              | 1              | 1              |
|    | #2          | 2                            | 0              | 2              | 0              |
|    | #3          | 0                            | 2              | 0              | 2              |

As is indicated by FIG. 5, the number of "1's" in each of the Groups A, B, C and D are determined by "1" counter modules 172, 174, 176 and 178, respectively. Since the number of "1's" within each Group A, B, C and D is determined by the 15 results of the XNOR operation between adjacent bits in the 21-bit window (step 160), the number of "1's" within each group is indicative of the number of "non-transitions" in logical value between adjacent bits in each of four segments of the 21-bit window associated with Groups A, B, C and D. In the current example, it is seen that each of Groups A, B, C and D each include a single "1". Accordingly, 20 the current example corresponds to Case #1.

Condition II. The sequence of bits comprising Group A is equivalent to the bit sequence of Group C, and the sequence of bits comprising Group B is equivalent to the bit sequence of Group D. That is, Group A = Group C, and Group B = Group D.

25      In accordance with the invention, both Condition I AND Condition II are satisfied if and only if the same synchronization character is stored within the first, second and third 10-bit latches 150, 154 and 158. That is, both Condition I and Condition II are satisfied only during the preambling period, when three repetitions

21.

of the same synchronization character are produced by the encoder 18. This aspect of the invention is explained immediately below with reference to low-transition mode operation.

As was described above, the adjacent bits within a 21-bit window provided by  
 5 latches 150, 154 and 158 are XNOR'ed during step 160 (FIG. 5). Because each in-band or out-of-band character produced by the encoder 18 is exactly ten bits in length, the 21-bit window will include all or part of 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> 10-bit characters. The various ways in which the 21-bit window may include bits from these 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> 10-bit characters is set forth below:

10

# Of Bits

|    | <u>1<sup>st</sup><br/>Character</u> | <u>2<sup>nd</sup><br/>Character</u> | <u>3<sup>rd</sup><br/>Character</u> |
|----|-------------------------------------|-------------------------------------|-------------------------------------|
| 15 | 1                                   | 10                                  | 10                                  |
|    | 2                                   | 10                                  | 9                                   |
|    | 3                                   | 10                                  | 8                                   |
|    | 4                                   | 10                                  | 7                                   |
|    | 5                                   | 10                                  | 6                                   |
|    | 6                                   | 10                                  | 5                                   |
| 20 | 7                                   | 10                                  | 4                                   |
|    | 8                                   | 10                                  | 3                                   |
|    | 9                                   | 10                                  | 2                                   |
|    | 10                                  | 10                                  | 1                                   |

Since each character is either an in-band (e.g., DATA) character or an out-of-band command or synchronization (i.e., SYNC) character, the following sets  
 25 forth the possible combinations of DATA and SYNC characters among the 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> 10-bit characters contributing to the 21-bit window:

22.

| <u>Combination</u> | <u>1<sup>st</sup> Character</u> | <u>2<sup>nd</sup> Character</u> | <u>3<sup>rd</sup> Character</u> |
|--------------------|---------------------------------|---------------------------------|---------------------------------|
| 5                  | A                               | SYNC                            | SYNC                            |
|                    | B                               | SYNC                            | DATA                            |
|                    | C                               | SYNC                            | DATA                            |
|                    | D                               | DATA                            | DATA                            |
|                    | E                               | DATA                            | DATA                            |
|                    | F                               | DATA                            | SYNC                            |

For example, the 21-bit window could be comprised of two bits of a 1<sup>st</sup> SYNC Character, ten bits of a 2<sup>nd</sup> DATA Character, and nine bits of a 3<sup>rd</sup> DATA Character (i.e., Combination C).

During low-transition mode operation, all in-band (e.g., DATA) characters include a maximum of three logical transitions or, equivalently, more than four "non-transitions" in logical value between the ten adjacent bits of the in-band character. Accordingly, during low-transition mode operation if the 2<sup>nd</sup> character is 15 a DATA character, it will include more than four logical non-transitions. Since Condition I indicates that the number of logical non-transitions within the entire 21-bit window will be exactly four when three identical SYNC characters are present therein, Condition I will not be satisfied when the 2<sup>nd</sup> character is a DATA character since it would include more than four logical non-transitions. Hence, if Condition I 20 is to be satisfied then the 21-bit window cannot be comprised of the character sets specified by Combinations C, D and E (i.e., 2<sup>nd</sup> character is a DATA character).

In accordance with the invention, the synchronization characters listed above have been chosen such that Condition II will be satisfied if the 1<sup>st</sup> and 3<sup>rd</sup> characters transmitted during any preamble period are identical. Hence, Combination B and F 25 do not satisfy Condition II. It follows that only Combination A (i.e., three consecutive SYNC characters) satisfies both conditions I and II.

Referring to FIG. 5, if both Condition I and Condition II are satisfied (step 190) then selected adjacent bits within Groups A and B are AND'ed (step 196) as described below in order to identify the frame boundaries of the SYNC characters

23.

detected within the 21-bit window. Since each SYNC character within the 21-bit window is loaded by the deserializer 34 into latch 150, the frame boundary of each SYNC character may be identified in terms of the one of the bit lines R[9:0] from the deserializer 34 upon which the first bit of each such SYNC character is impressed. Once this identification is achieved, the decoder    is informed of the identity of this bit line R[9:0] by way of frame boundary pointer 118.

The AND operation of step 196 is performed between all of the adjacent bits in the third latch 158 (i.e., L3[9:0]), as well as between L3[0] and L2[9]. When the result of step 190 has indicated that both Condition I and Condition II are satisfied, 10 the result of the AND operation of step 196 will produce only a single logical one indicative of the value of the frame boundary pointer 118. In the current example, L3[9:0] := {1011010010} and L2[9] := [1], and thus the result of the AND operation of step 196 is {0010000000}. That is, the third position in the 21-bit window corresponds to the first bit of a synchronization character. Accordingly, in 15 the current example the frame boundary pointer 118 would be set so as to identify the third (RX[7]) of the ten bit lines RX[9:0] as carrying the first bit of each 10-bit character produced by the deserializer 34.

In the exemplary embodiment a preambling sequence (i.e., three repetitions of the same out-of-band SYNC character) is sent upon system power-up as well as 20 during lapses in data transmission over the serial link 30. This allows timing synchronization to be maintained between the encoder 18 and decoder 44 even in the absence of data transmission for extended periods.

FIG. 6 is a flow chart depicting the synchronization process effected by the decoder synchronization module 114 during high-transition mode operation. As is 25 indicated by FIG. 6, the high-transition mode synchronization process is substantially similar to that performed during low-transition mode operation (FIG. 5). In particular, the high-transition mode synchronization process differs from the low-transition mode synchronization process primarily in that:

24.

(i) In step 160', an exclusive-OR (XOR) rather than an exclusive-NOR (XNOR) operation is performed upon adjacent bits within the latches 150', 154' and 158'.

(ii) In step 196', an AND operation is performed between each bit and the complement of the bit immediately to the right (e.g., L3[9] AND  $\overline{L3[8]}$ , L3[8] AND  $\overline{L3[7]}$ , L3[7] AND  $\overline{L3[6]}$ , and so on. In this way the AND gate producing a logical "1" identifies a "10" or "falling edge" sequence corresponding to the frame boundary.

#### V. Hardware Implementations of Encoder and Decoder Synchronization Module

10        In this section a description is provided of a specific hardware implementation of the encoder 18, and of an implementation of the decoder synchronization module 114 suitable for use during low-transition mode operation. A description of an exemplary hardware realization of the decoder 44 in random logic was provided above in section III.

15        FIGS. 7A and 7B provide a schematic representation of an exemplary implementation of the encoder 18. The 8-bit parallel data D[7:0] from latch 70 to be encoded is seen to be provided to seven exclusive-OR gates 240 of the transition counter 74. The outputs of the exclusive-OR gates 240 are provided to a set of full-adders 242, 244, 246 and 248. The carry output (C) of the full-adder 248

20        corresponds to the COUNT line 78, and indicates whether less than four logical transitions exist between the bits in the data D[7:0]. When an out-of-band command is being received from latch 70, a command line (TX\_CMD) provided to NOR gate 260 is raised so as to prevent the COUNT line 78 from causing inversion of the even bits of D[7:0] within CABI logic 90. Otherwise, when the data D[7:0] from

25        latch 70 is being encoded in accordance with the invention, the output 78' of NOR gate 260 tracks the logical value of COUNT line 78.

As is indicated by FIG. 7A, in the exemplary embodiment CABI logic 90 is comprised of a plurality of NOR gates 270. Each NOR gate 270 includes one input coupled to COUNT line 78', and another input connected to one of the even bits of

25.

D[7:0]. The output of CABI logic 90 is provided to intermediate latch 94, which is coupled to the input of disparity checker 96 (FIG. 7B).

Turning to FIG. 7B, the disparity checker 96 includes four AND gates 290-293 for determining occurrences of "11" within the conditionally bit-inverted byte 5 E[7:0]. Similarly, four NOR gates 296-299 are provided for detecting occurrences of "00" within E[7:0]. Since pattern of "01" and "01" within E[7:0] are already "DC-balanced" in the sense of including equal numbers of ones and zeroes, there exists no need to detect such patterns during the DC-balancing process effected by the circuitry of FIG. 7B. A first full-adder 302 and first half-adder 306 are disposed 10 to count the occurrences of "11" detected by AND gates 290-293. In like manner a second full-adder 308 and second half-adder 312 are provided for counting the occurrences of "00" detected by the NOR gates 296-299. A first pair of full-adders 316 and 318 determine the difference in the counted occurrences of "11" and "00".

The DC-balancing module 98 includes a three-input NOR gate 330, a first 15 exclusive-OR gate 332, a latch 336 and a second exclusive-OR gate 338. When the occurrences of "11" and "00" are determined to be equivalent by full-adders 316 and 318, the complement of E[8] determines the value of T[9], and hence whether the byte E[7:0] is inverted by CTBI logic 100. When the counted occurrences of "00" and "11" are not equivalent, the value of T[9] comprises the output of XOR gate 20 332. In this regard a first input 342 to XOR gate 332 comprises the most significant bit (MSB) produced by the pair of full-adders 316 and 318, which is equivalent to the MSB of the current disparity D<sub>cur</sub> (i.e., the difference in "1's and "0's" in E[7:0]). A second input 344 to XOR gate 332 corresponds to the MSB of the cumulative disparity D<sub>cum</sub>. As is indicated by FIG. 7B, the latch 99 for storing the 25 cumulative disparity is comprised of three registers 350-352. The cumulative disparity is updated by a disparity updater 108 comprised of a backward chain of full adders 356 and 358, a set of three exclusive-OR gates 360-362, and a corresponding set of three full-adders 365-367. Finally, CTBI logic 100 includes a set of eight exclusive-OR gates 374.

26.

FIGS. 8A and 8B provide a schematic representation of a preferred implementation of the decoder synchronization module 114. In FIG. 8A, the 10-bit latches 150, 154 and 158, for storing L3[9:0], L2[9:0] and L1[9:0], respectively, may each be realized using an array of ten D-type flip-flops. A plurality of XNOR gates 402 are provided for XNOR'ing adjacent bits included within the 21-bit window corresponding to L3[9:0], L2[9:0] and L1[9]. The Group A, Group B, Group C, and Group D outputs of the XNOR gates 402 are then respectively provided to the "1" counters 172, 174, 176 and 178. As is indicated by FIG. 8A, the existence of Condition I is detected by random logic 190a, which is comprised of four NAND gates 410-413.

Turning now to FIG. 8B, the existence of Condition II is identified by an arrangement of random logic identified by reference numeral 190b. Random logic 190b includes a set of ten XOR gates 422, the outputs of which are provided as shown to NAND gates 426 and 428. The outputs of NAND gates 426 and 428 are coupled to the inputs of a NOR gate 430, the output of which is driven to a logical "1" when Condition II is satisfied. Finally, the logical state of the output (Sync\_Sig) of an AND gate 440 is indicative as to whether Condition I and Condition II have been satisfied (i.e., as to whether synchronization has been achieved). If so, adjacent bits within L3[9:0] and L2[9] are AND'ed (step 196 of FIG. 5) by a set of ten AND gates 450. The outputs PTR[9:0] comprise the frame boundary pointer 118, which informs decoder 44 as to which of the bit lines RX[9:0] correspond to the first bit T[0] of each transmitted 10-bit character T[9:0].

The previous description of the preferred embodiments has been provided to enable any person skilled in the art to make or use the present invention. Various modifications to these embodiments will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other embodiments without the use of inventive faculty. Thus, the present invention is not intended to be limited to the embodiments shown herein but is to be accorded the widest scope consistent with the principles and novel features disclosed herein.

27.

**WHAT IS CLAIMED IS:**

1. A method for producing a DC balanced sequence of characters from an inputsequence of 8 bit data blocks, said method including the steps of:
  - selectively complementing bits in said 8 bit data blocks in accordance with the number of logical transitions in each said 8 bit data block in order to produce selectively complemented data blocks;
  - 5 determining a cumulative disparity in the number of logical values of different type included within ones of said selectively complemented data blocks previously encoded into ones of said characters;
  - 10 determining a current disparity in a candidate character associated with a current one of said selectively complemented data blocks being encoded; and
  - 15 assigning said candidate character to said current one of said selectively complemented data blocks if said current disparity is of a polarity opposite to a first polarity of said cumulative disparity, and assigning the complement of said candidate character to said current one of said selectively complemented data blocks if said current disparity is of said first polarity.
- 20 2. The method of claim 1 wherein said step of selectively complementing includes the step of selectively complementing predetermined ones of said bits in said 8 bit data blocks having less than a predetermined number of said logical transitions.
- 25 3. The method of claim 1 wherein said step of selectively complementing includes the step of selectively complementing predetermined ones of said bits in said 8 bit data blocks having more than a predetermined number of said logical transitions.

28.

4. The method of claim 1 further including the step of setting a predetermined bit in said candidate character to a first logical value when a current 8 bit data block associated therewith has less than a predetermined number of transitions, and setting said predetermined bit to the complement of said first logical value otherwise.  
5
5. The method of claim 1 further including the step of setting a predetermined bit said candidate character to a first logical value when a current 8 bit data block associated therewith has more than a predetermined number of transitions, and setting said predetermined bit to the complement of said first logical value otherwise.  
10
6. The method of claim 1 wherein the logical value of a predetermined bit in each character assigned to one of said selectively complemented data blocks indicates whether a candidate character or complement thereof was assigned to said selectively complemented data block.  
15
7. The method of claim 1 wherein said step of determining a current disparity in a candidate character includes the step of performing a logic analysis upon bits of said candidate character.  
20
8. The method of claim 1 further including the step of assigning specific ones of said 8 bit data blocks having less than a predetermined number logical transitions to be representative of special characters, said special characters being encoded distinctly from ones of said 8 bit data blocks not corresponding to ones of said special characters.  
25
9. A binary data encoding apparatus for producing a DC balanced sequence of characters from an input sequence of 8 bit data blocks, said binary data encoding apparatus comprising:  
means for selectively complementing bits in said 8 bit data blocks in accordance with the number of logical transitions in each

29.

said 8 bit data block in order to produce selectively complemented data blocks;

means for determining a cumulative disparity in the number of logical values of different type included within ones of said selectively complemented data blocks previously encoded into ones of said characters;

means for determining a current disparity in a candidate character associated with a current one of said selectively complemented data blocks being encoded; and

means for assigning said candidate character to said current one of said selectively complemented data blocks if said current disparity is of a polarity opposite to a first polarity of said cumulative disparity, and assigning the complement of said candidate character to said current one of said selectively complemented data blocks if said current disparity is of said first polarity.

10. The binary data encoding apparatus of claim 9 wherein said means for selectively complementing includes means for selectively complementing predetermined ones of said bits in said 8 bit data blocks having less than a predetermined number of said logical transitions.

20 11. The binary data encoding apparatus of claim 9 wherein said means for selectively complementing includes means for selectively complementing predetermined ones of said bits in said 8 bit data blocks having more than a predetermined number of said logical transitions.

25 12. The binary data encoding apparatus of claim 9 further including means for setting a predetermined bit in said candidate character a first logical value when said current 8 bit data block has less than a predetermined number of transitions, and means for setting said predetermined bit to the complement of said first logical value otherwise.

30.

13. The binary data encoding apparatus of claim 9 further including means for setting a predetermined bit in said candidate character to a first logical value when said current 8 bit data block has more than a predetermined number of transitions, and means for setting said predetermined bit to the complement of said first logical value otherwise.  
5
14. The binary data encoding apparatus of claim 9 wherein the logical value of a predetermined bit in each character assigned to one of said selectively complemented data blocks indicates whether a candidate character or complement thereof was assigned to said selectively complemented data  
10 block.
15. The binary data encoding apparatus of claim 9 wherein said means for determining a current disparity in a candidate character includes means for performing a logic analysis upon bits of said candidate character.
16. The binary data encoding apparatus of claim 9 further including means  
15 for assigning specific ones of said 8 bit data blocks having less than a predetermined number logical transitions to be representative of special characters and for encoding said special characters distinctly from ones of said 8 bit data blocks not corresponding to ones of said special characters.
17. A high speed digital signal transmission system comprising:  
20 means for encoding digital input data into data characters and for encoding control data into control characters, each of said data characters having a first plurality of logical transitions within a first range and each of said control characters having a second plurality of logical transitions in a second range different from said first range;  
25 means for converting said data and control characters into a serial data stream and for transmitting said serial data stream over a communication link;

31.

means for receiving said serial data stream from said communication link and for recovering received data and control characters therefrom; and

5 means for separating said received control characters from said received data characters on the basis of numbers of logical transitions detected in said received control characters and said received data characters, and for decoding said received data and received control characters.

18. The high speed digital signal transmission system of claim 17 wherein  
10 said means for encoding further includes means for selectively complementing bits in 8 bit data blocks within said input data on the basis of the number of logical transitions in each said 8 bit data block, thereby producing selectively complemented data blocks.

19. The high speed digital signal transmission system of claim 17 wherein  
15 said means for encoding further includes:

means for determining a cumulative disparity in the number of logical values of different type included within ones of said selectively complemented data blocks previously encoded into ones of said characters;

20 means for determining a current disparity in a candidate character associated with a current one of said selectively complemented data blocks being encoded; and

25 means for assigning said candidate character to said current one of said selectively complemented data blocks if said current disparity is of a polarity opposite to a first polarity of said cumulative disparity, and assigning the complement of said candidate character to said current one of said selectively complemented data blocks if said current disparity is of said first polarity.

32.

20. The high speed digital signal transmission system of claim 17 wherein said means for transmitting includes means for transmitting at least three repetitions of a synchronization character, said synchronization character having a selected number of logical transitions within said second range  
5 wherein said means for separating includes means for detecting a boundary of one of said at least three repetitions of said synchronization character received from said communication link.

21. The high speed digital transmission system of claim 20 wherein said means for detecting a boundary includes:

10 means for coupling an input set of bits from said communication link into a register,

means for performing a first set of logical operations at least upon adjacent ones of a first subset of said input set of bits and for grouping the results of said predefined logical operations into a set of groups, and  
15

means for comparing said groups in order to determine if said input set of bits corresponds to said at least three repetitions of said synchronization character.

22. The high speed digital transmission system of claim 21 wherein said means for detecting a boundary further includes detection logic means for identifying said boundary of said synchronization character on the basis of results of performance of a second set of logical operations upon said input set of bits.  
20

23. The system of claim 21 wherein said means for comparing includes means for comparing first and third of said groups and for comparing second and fourth of said groups, said input set of bits corresponding to said at least three repetitions of said synchronization character if said first group is equivalent to said third group and if said second group is equivalent to said fourth group.  
25

33.

24. The system of claim 21 wherein said second set of logical operations correspond to logical AND operations performed on adjacent ones of a second subset of said input set of bits.

5 25. The system of claim 21 wherein each of said repetitions of said synchronization character includes 10 bits, said means for grouping including means for forming four of said groups from said first subset of said input set of bits wherein said first subset is comprised of less than 22 bits.

10 26. A high speed digital video signal transmission system comprising:  
first encoder means for encoding a first sequence of 8 bit data words into data characters and for encoding control data into control characters, each of said data characters having a first plurality of logical transitions within a first range and each of said control characters having a second plurality of logical transitions in a second range different from said first range;  
15 a serializer responsive to said data and control characters;  
a link transmitter coupled to an output of said serializer for transmitting said data and control characters over a communication link; and  
means for separating received ones of said data and control characters received from said communication link on the basis of numbers of logical transitions in said received ones of said data and control characters.  
20

25 27. A system for detecting a boundary of a synchronization character within an encoded serial data stream including at least three repetitions of said synchronization character, said synchronization character having a number of logical transitions within a predetermined range, said system comprising:

means for coupling an input set of bits from said encoded serial data stream into a register;

34.

means for performing a first set of logical operations at least upon adjacent ones of a first subset of said input set of bits and for grouping the results of said predefined logical operations into a set of groups;

5 means for comparing said groups in order to determine if said input set of bits corresponds to said at least three repetitions of said synchronization character; and

detection logic means for identifying said boundary of said synchronization character on the basis of results of performance of a second set of logical operations upon said input set of bits.

10 28. The system of claim 27 wherein said means for comparing includes means for comparing first and third of said groups and for comparing second and fourth of said groups, said input set of bits corresponding to said at least three repetitions of said synchronization character if said first group is equivalent to said third group and if said second group is equivalent to said 15 fourth group.

29. The system of claim 27 wherein said second set of logical operations correspond to logical AND operations performed on adjacent ones of a second subset of said input set of bits.

30. The system of claim 27 wherein each of said repetitions of said 20 synchronization character includes 10 bits, said means for grouping including means for forming four of said groups from said first subset of said input set of bits wherein said first subset is comprised of less than 22 bits.

31. A method for detecting a boundary of a synchronization character within an encoded serial data stream including at least three repetitions of 25 said synchronization character, said synchronization character having a number of logical transitions within a predetermined range, said method comprising the steps of:

35.

coupling an input set of bits from said encoded serial data stream into a register;

5 performing a first set of logical operations at least upon adjacent ones of a first subset of said input set of bits and for grouping the results of said predefined logical operations into a set of groups;

comparing said groups in order to determine if said input set of bits corresponds to said at least three repetitions of said synchronization character; and

10 identifying said boundary of said synchronization character on the basis of results of performance of a second set of logical operations upon said input set of bits.

32. The method of claim 31 wherein said step of comparing includes the step of comparing first and third of said groups and for comparing second 15 and fourth of said groups, said input set of bits corresponding to said at least three repetitions of said synchronization character if said first group is equivalent to said third group and if said second group is equivalent to said fourth group.

33. The method of claim 31 wherein said second set of logical operations 20 correspond to logical AND operations performed on adjacent ones of a second subset of said input set of bits.

34. The method of claim 31 wherein each of said repetitions of said synchronization character includes 10 bits, said step of grouping including the step of forming four of said groups from said first subset of said input set of 25 bits wherein said first subset is comprised of less than 22 bits.

35. A method for high speed transmission of digital data comprising the steps of:

36.

encoding an input sequence of 8 bit data blocks into a sequence of characters by: (i) selectively complementing bits in an input sequence of 8 bit data blocks in accordance with the number of logical transitions in each said 8 bit data block in order to produce selectively 5 complemented data blocks, (ii) determining a cumulative disparity in logical values associated with ones of said selectively complemented data blocks previously encoded into ones of said characters, (iv) determining a current disparity in a candidate character associated with a current one of said selectively complemented data blocks being 10 encoded, and (iv) assigning said candidate character to said current one of said selectively complemented data blocks if said current disparity is of a polarity opposite to a first polarity of said cumulative disparity, and assigning the complement of said candidate character to said current one of said selectively complemented data blocks if said 15 current disparity is of said first polarity;

converting each character into a serial data string; and  
transmitting each said serial data string over a serial communication link.

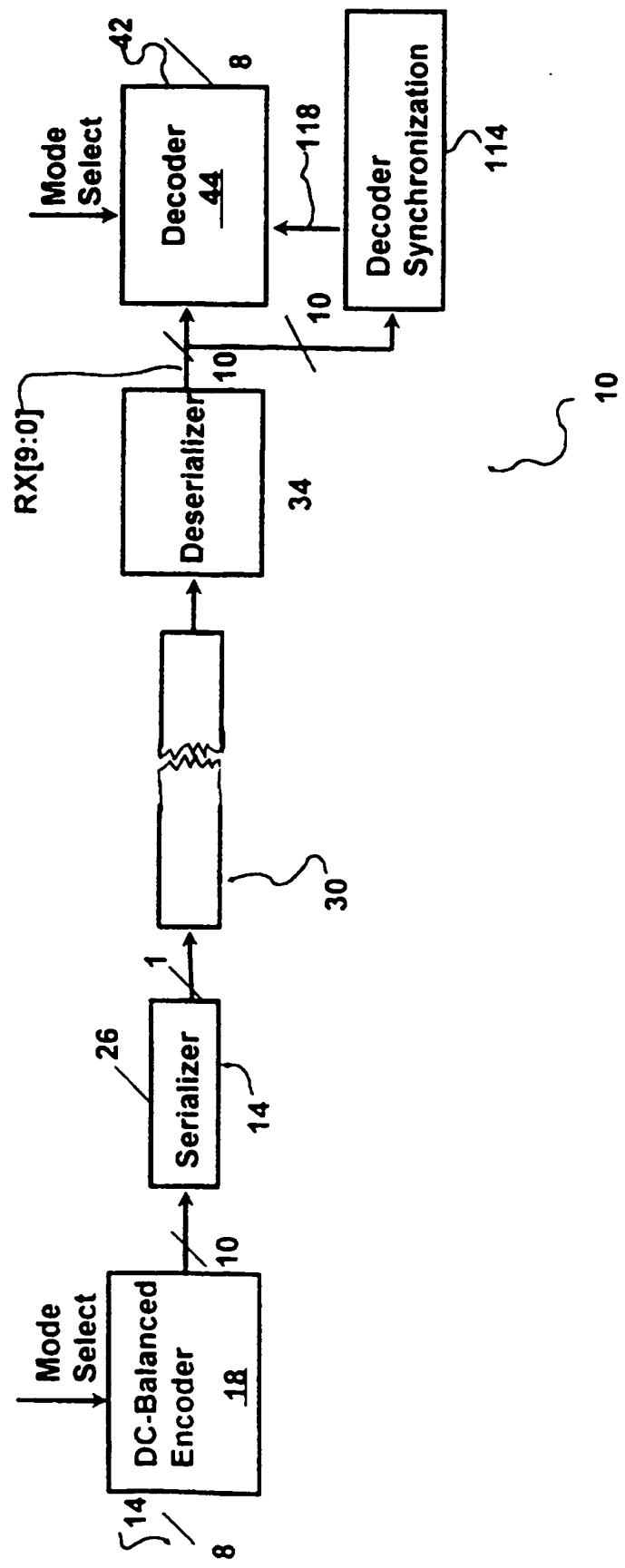


FIG. 1

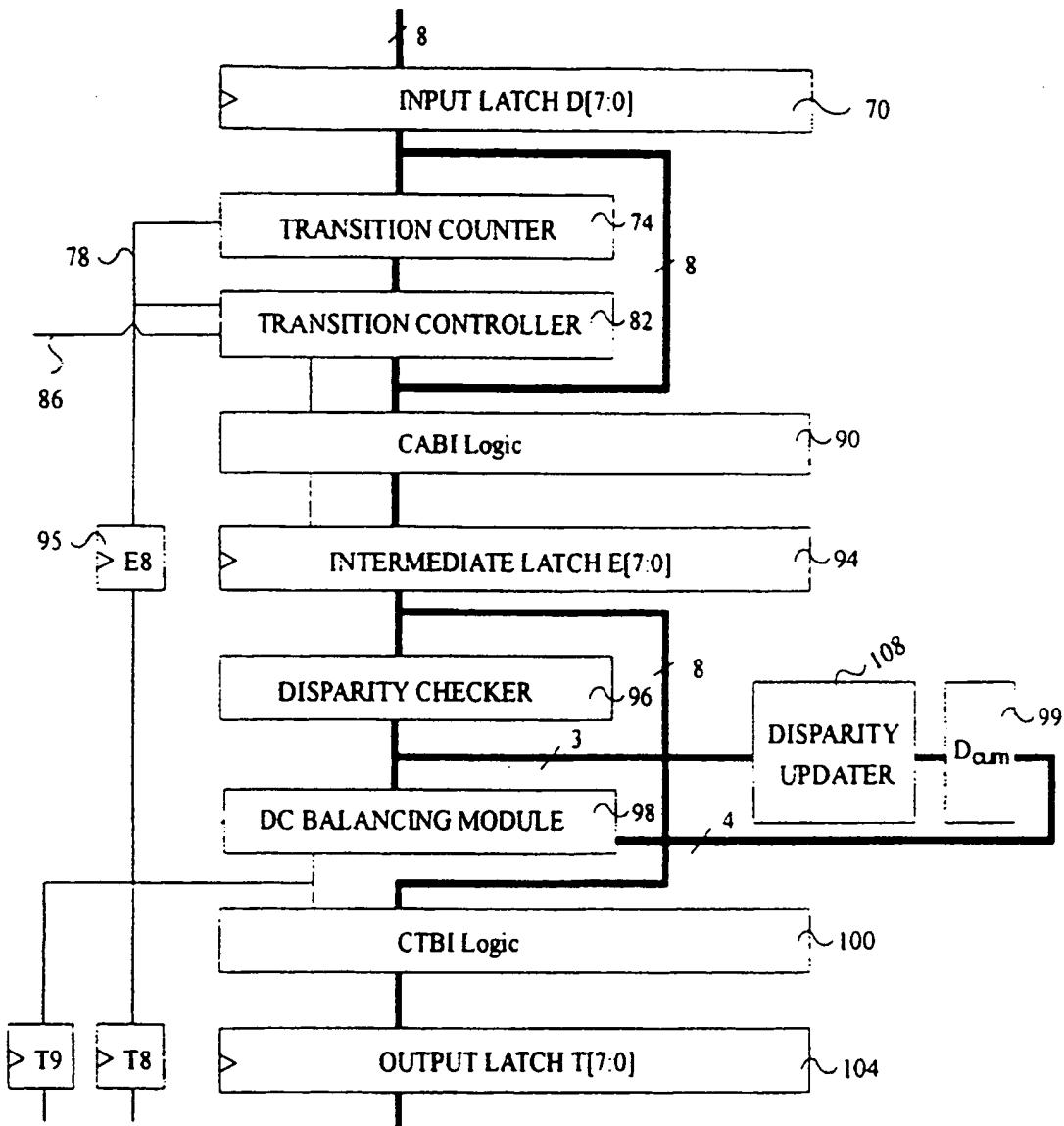
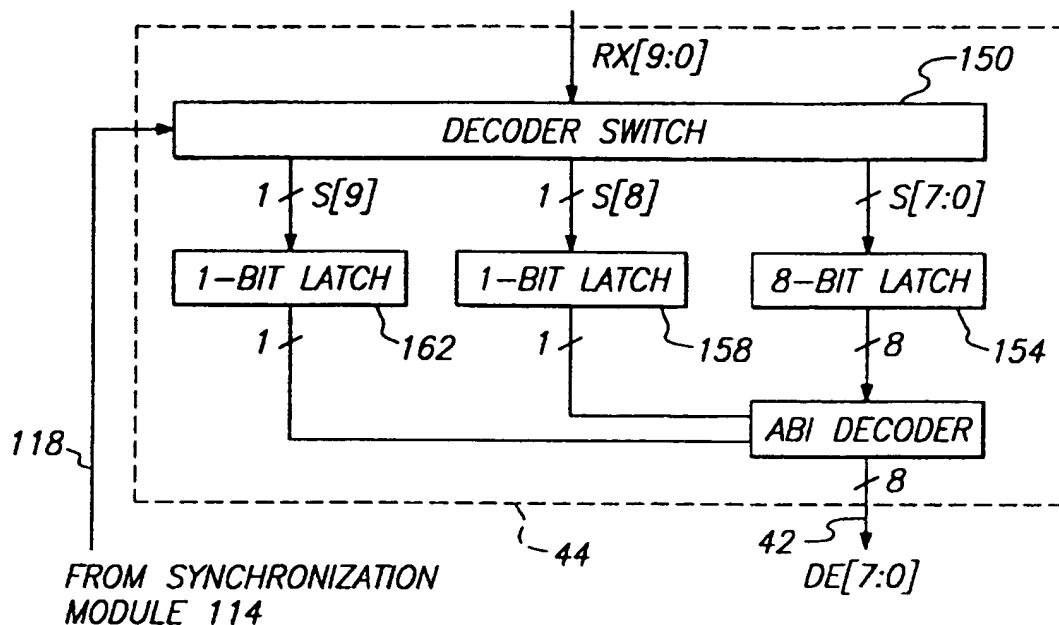


FIG. 2



**FIG. 3**

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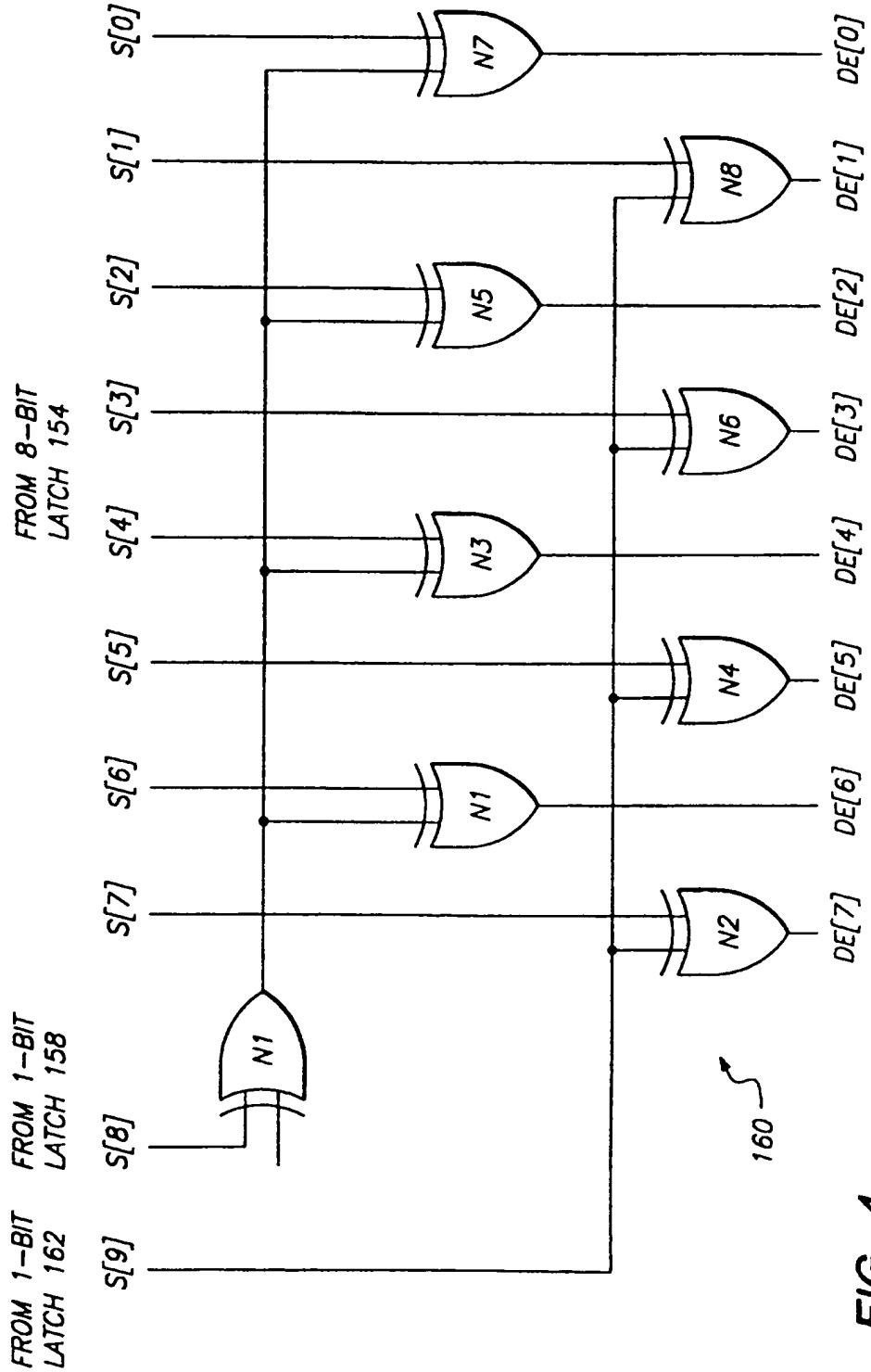


FIG. 4

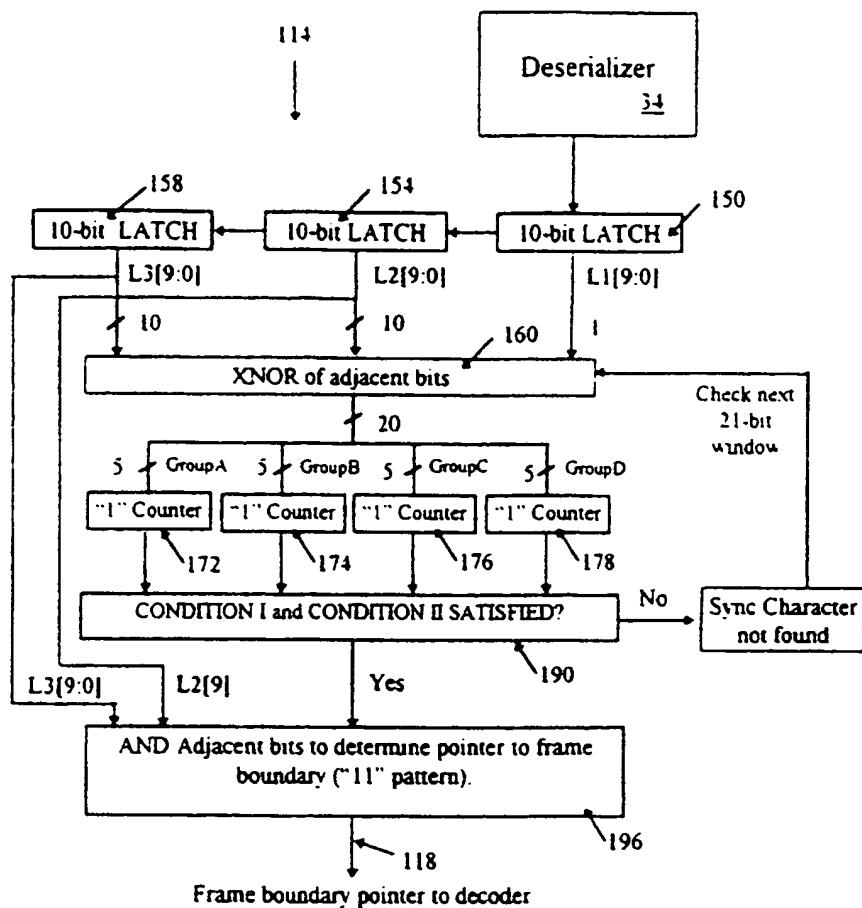


FIG. 5

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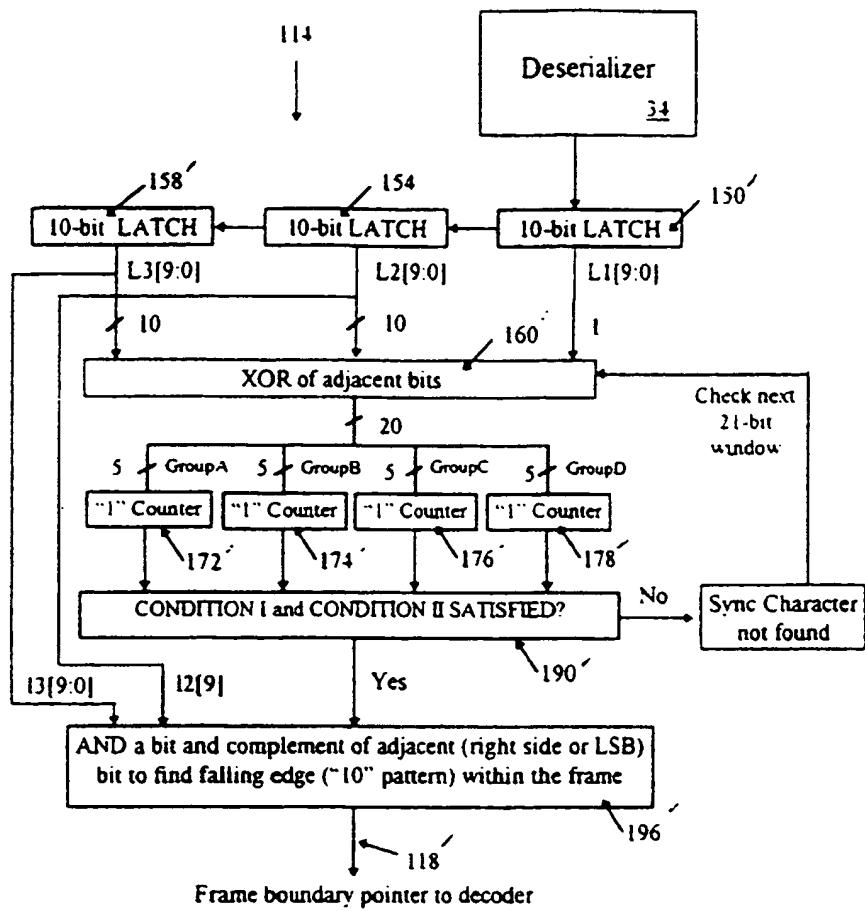
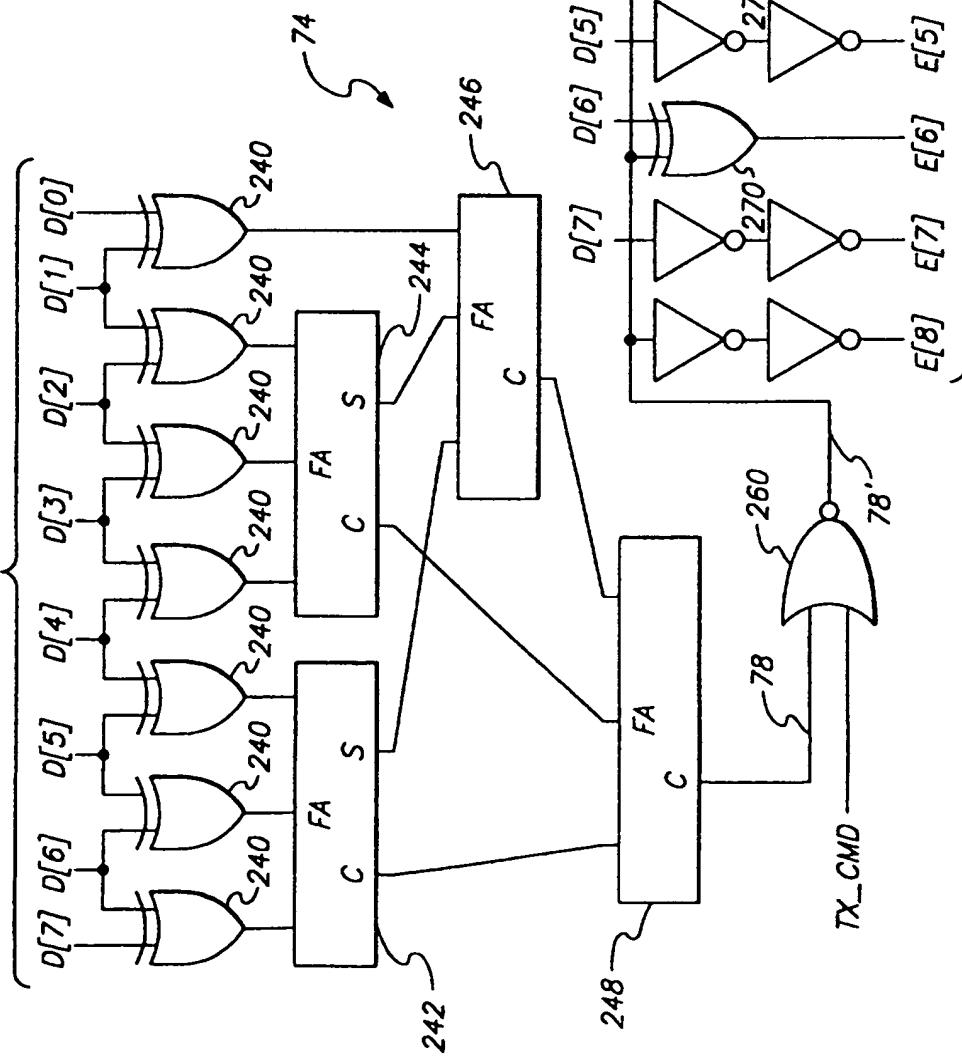


Fig. 6

**FIG. 7A**

FROM LATCH 70



**FIG. 7B**

|             |             |
|-------------|-------------|
| <b>FIG.</b> | <b>7B-1</b> |
| <b>FIG.</b> | <b>7B-2</b> |

FROM INTERMEDIATE LATCH 94

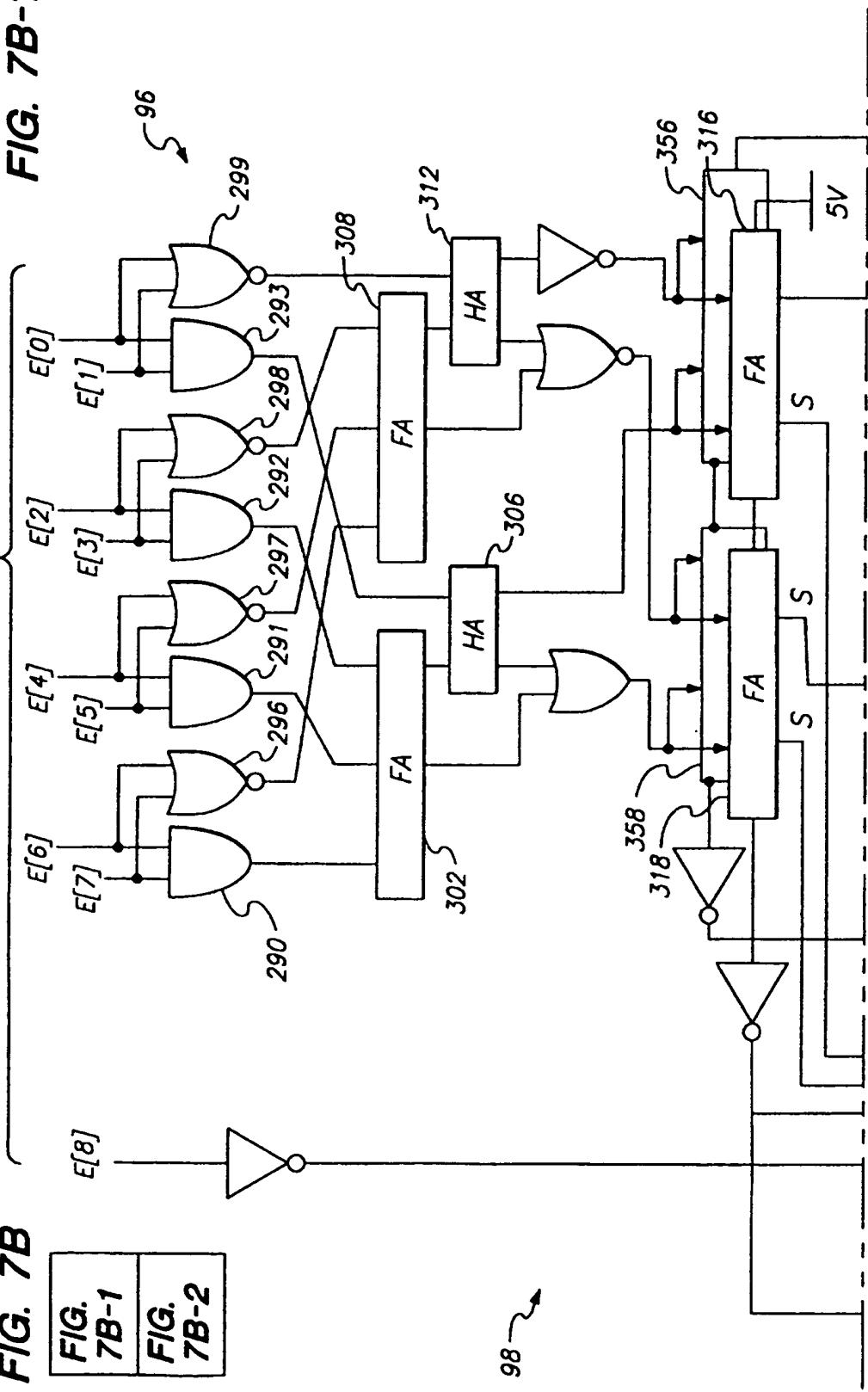
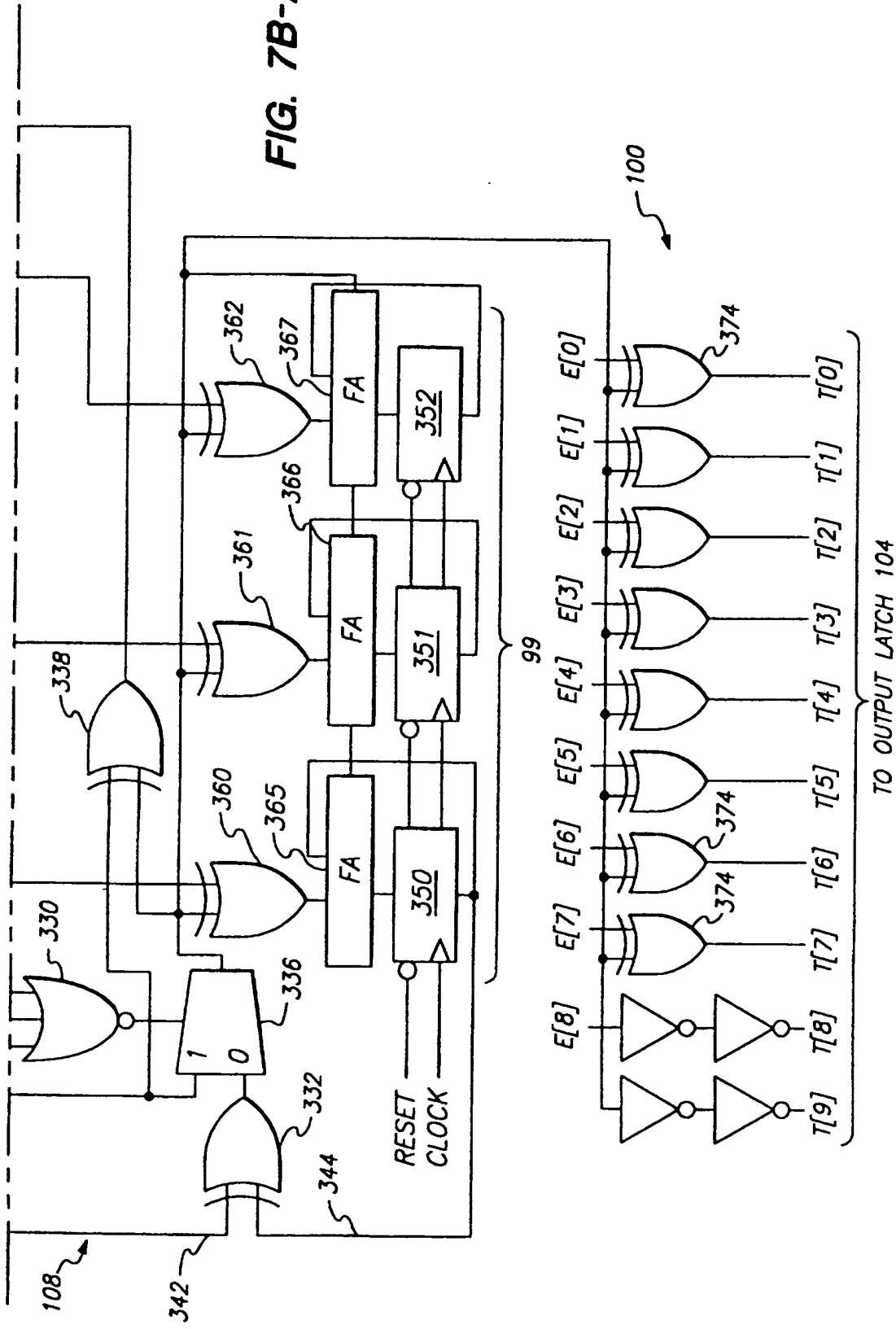
**FIG. 7B-1**

FIG. 7B-2



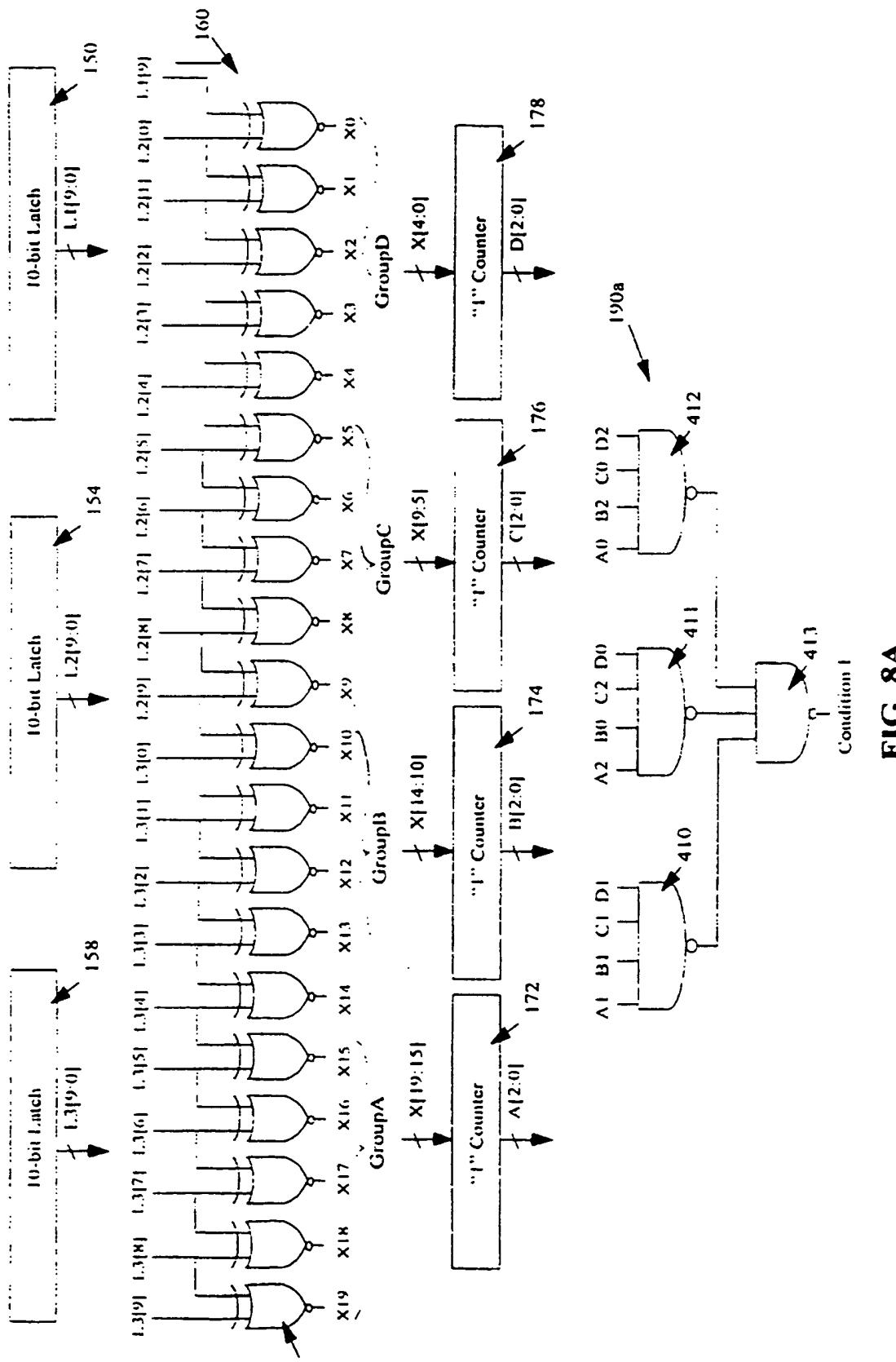


FIG. 8A

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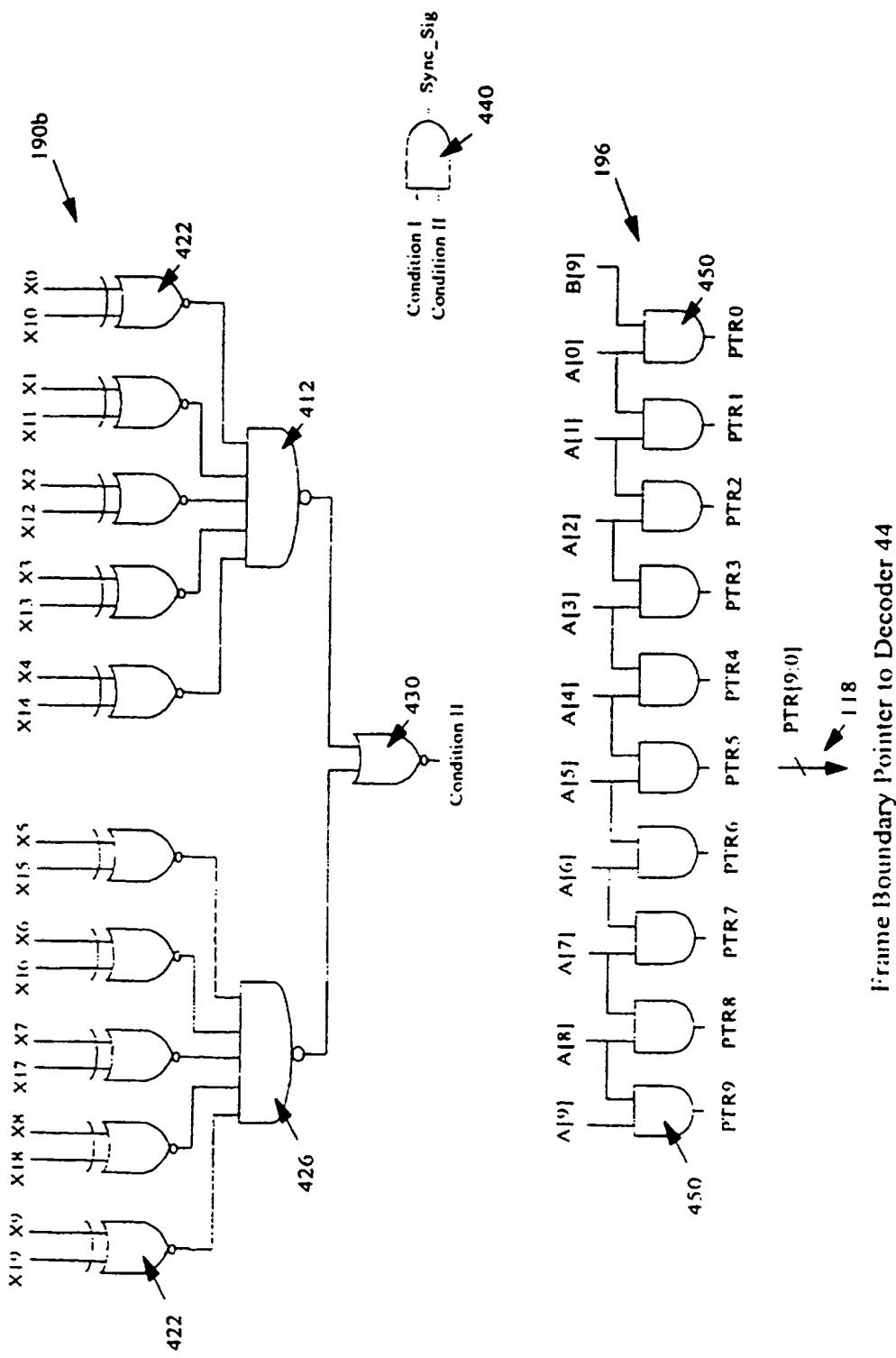


FIG. 8B

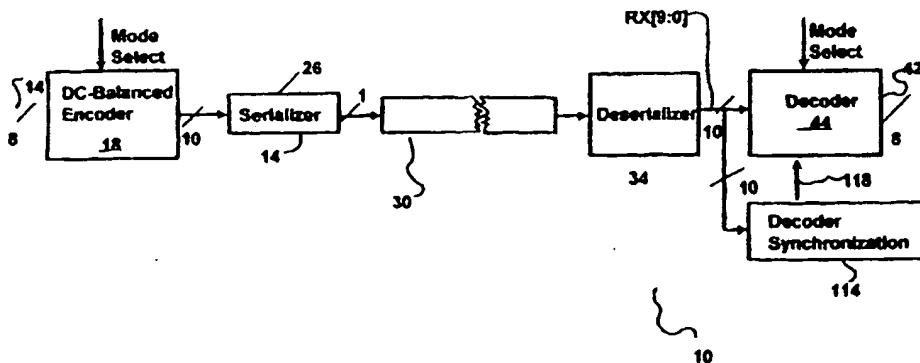
Frame Boundary Pointer to Decoder 44



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

|   |  |  |  |             |
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| <p><b>Published</b><br/> <i>With international search report.<br/> Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p> |  |  |  |             |
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(54) Title: TRANSITION-CONTROLLED DIGITAL ENCODING AND SIGNAL TRANSMISSION SYSTEM



## (57) Abstract

A method and apparatus for producing a transition-controlled, DC-balanced sequence of characters from an input sequence of data bytes is disclosed herein. The bits in each of the data bytes are selectively complemented in accordance with the number of logical transitions in each data byte in order to produce selectively complemented data blocks. A cumulative disparity is then determined between the logical values of different type included within ones of the selectively complemented data blocks previously encoded into characters. In addition, a current disparity in a candidate character associated with a current one of the selectively complemented data blocks being encoded is also determined. The candidate character is assigned to the current one of the selectively complemented data blocks if the current disparity is of a polarity opposite to a first polarity of the cumulative disparity. Alternately, the complement of the candidate character is assigned to the current one of the selectively complemented data blocks if the current disparity is of the first polarity. In a high-transition mode of operation, the bits within data blocks including less than a minimum number of logical transitions are selectively complemented so that each such selectively complemented data block includes in excess of the minimum number of logical transitions. In a low-transition mode of operation, the bits within data blocks having more than a predefined number of logical transitions are selectively complemented so that each such selectively complemented data block includes less than the maximum number of logical transitions.

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# INTERNATIONAL SEARCH REPORT

Intern. Application No  
PCT/US 96/15661

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 H04L25/49 H04L25/08

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
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Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

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| X          | WO 92 22168 A (NORTHERN TELECOM) 10 December 1992<br><br>see abstract; figures 3,4<br>see page 1, line 26 - page 2, line 2<br>see page 2, line 8 - line 11<br>see page 2, line 17 - line 20<br>see page 4, line 11 - line 26<br>see page 8, line 5 - line 12<br><br>A<br><br>A | 1,2,<br>4-10,<br>12-16,35<br><br>---<br><br>EP 0 556 981 A (ADVANCED MICRO DEVICES) 25 August 1993<br>see page 2, line 3 - line 7<br>see page 2, line 19 - line 21<br>see page 2, line 47 - line 53; table 1<br>--- |
|            |  | 3,11<br><br>1-16<br><br>-/-   |

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2

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**C(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT**

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|----------|---|-----------------------|
| A        | <p>ELECTRONICS LETTERS,<br/>vol. 19, no. 6, March 1983, STEVENAGE GB,<br/>pages 202-203, XP000670400<br/>WIDMER &amp; FRANASZEK: "Transmission code<br/>for high-speed fibre-optic data networks"<br/>see abstract; figure 1<br/>see page 202, right-hand column, paragraph<br/>3<br/>see page 202, right-hand column, paragraph<br/>5</p> <p>---</p> <p>WO 88 06384 A (UNIVERSITY OF ILLINOIS) 25<br/>August 1988<br/>see abstract; figure 1<br/>see page 1, line 14 - line 20<br/>see page 2, line 11 - line 25<br/>see page 8, line 30 - page 9, line 6<br/>see page 9, line 26 - line 29</p> <p>-----</p> | 1-16                  |
| A        |   | 1-16                  |

# INTERNATIONAL SEARCH REPORT

Int'l application No.

PCT/US 96/ 15661

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This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

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2.  Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

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see extra sheet

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
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1-16,35

Remark on Protest

The additional search fees were accompanied by the applicant's protest.  
 No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

International Application No. PCT/US 96/ 15661

### FURTHER INFORMATION CONTINUED FROM PCT/ISA/210

1. claims 1-16,35: DC balanced encoding, in particular, for serial transmission, of eight bit words, allowing control of the number of transitions in the code words
2. claims 17-26: transmission of data and control signals, using a code which distinguished data and control code words on the basis of the number of transitions
3. claims 27-34: detection of the boundary of a synchronisation character

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Intern. Application No  
PCT/US 96/15661

| Patent document cited in search report | Publication date | Patent family member(s)  | Publication date   |
|--|------------------|--|--|
| WO 9222160 A                           | 10-12-92         | US 5200979 A<br>CA 2109428 A<br>DE 69216111 D<br>DE 69216111 T<br>EP 0610204 A<br>JP 6503217 T | 06-04-93<br>07-12-92<br>30-01-97<br>17-04-97<br>17-08-94<br>07-04-94 |
| EP 0556981 A                           | 25-08-93         | US 5387911 A<br>JP 5284180 A   | 07-02-95<br>29-10-93   |
| WO 8806384 A                           | 25-08-88         | US 4864303 A<br>EP 0417072 A<br>JP 2502148 T   | 05-09-89<br>20-03-91<br>12-07-90                                     |

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